

**Form PTO-1449** (modified)  
List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use several sheets if necessary)

ATTY. DKT. NO. 5760-20000

APPLICANT: van Rietschote, et al.

FILING DATE: March 2, 2004

SERIAL NO. 10/791,472

GROUP: 2186

**U.S. PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
/A.H./		5,872,931	2/16/99	Chivaluri			
		6,029,166	2/22/00	Mutalik, et al.			
		6,341,329	1/22/02	LeCrone, et al.			
		6,075,938	6/13/00	Bugnion, et al.			
		6,757,778	6/29/01	van Rietschote			
		6,421,739	7/16/02	Holida			
		6,694,346	2/17/04	Aman, et al.			
		6,718,538	4/6/04	Mathiske			
		6,757,778	6/9/01	van Rietschote			
		2003/0028861	2/6/03	Wallman, et al.			
		2002/0129078	9/12/02	Plaxton, et al.			
		2004/0010787	1/15/04	Traut, et al.			
		2002/0049869	4/25/02	Ohmura, et al.			
		2002/0099753	7/25/02	Hardin, et al.			
		6,496,847	12/17/02	Bugnion, et al.			
		6,397,242	5/28/02	Devine, et al.			
		5,408,617	4/18/95	Yoshida			
		5,257,386	10/26/93	Saito			
		6,151,618	11/21/00	Wahbe, et al.			
		2003/0033431	2/13/03	Shinomiya			
		2001/0016879	8/23/01	Seklguchi, et al.			
		5,944,782	8/31/99	Noble, et al.			
		5,852,724	12/22/98	Glenn, II, et al.			
		6,363,462	3/26/02	Bergsten			
		6,324,627	11/27/01	Kricheff, et al.			
		6,298,428	10/2/01	Munroe, et al.			
		6,298,390	10/2/01	Matena, et al.			
/A.H./		5,621,912	4/15/97	Borosso, et al.			

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		4,969,092	11/6/90	Shorter			
/A.H./		4,912,628	3/27/90	Briggs			
		6,230,246	5/8/01	Lee, et al.			
		6,493,811	12/10/02	Blades, et al.			
/A.H./		6,438,642	8/20/02	Shaath, Kamel			

### OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

/A.H./	A1	Veritas, "Executive Overview," Technical Overview, pages 1-9.;
	A2	Kinshuk Govil, et al., "Cellular Disco: Resource Management Using Virtual Clusters on Shared-Memory Multiprocessors," 17 <sup>th</sup> ACM Symposium on Operating Systems Principles (SOSP'99), Published as Operating Systems Review 34(5):154-169, December 1999, pages 154-169.
	A3	Edouard Bugnion, et al., "Disco: Running Commodity Operating Systems on Scalable Multiprocessors," Computer Systems Laboratory, Stanford, CA, 33 pages.
	A4	"White Paper, GSX Server," VMware, Inc., December 2000, pages 1-9.
	A5	"Vmware GSX Serve, The Server Consolidation Solution," VMware, Inc., 2001, 2 pages.
	A6	"Manage Multiple Worlds., From Any Desktop," VMware, Inc., 2000, 2 pages.
	A7	"VMware ESX Server, The Server Consolidation Solution for High-Performance Environments," VMware, Inc., 2001, 2 pages.
	A8	Melinda Varian, "VM and the VM Community: Past, Present, and Future," Operating Systems, Computing and Information Technology, Princeton Univ., August 1997, pp. 1-67.
	A9	VERITAS, "Comparison: Microsoft Logical Disk Manager and VERITAS Volume Manager for Windows," May 2001, 4 pages.
	A10	VERITAS, "How VERITAS Volume Manager Complements Hardware RAID in Microsoft Server Environments," May 2001, pp. 1-7.
	A11	VERITAS, "VERITAS Volume Manager for Windows, Best Practices," May 2001, pp. 1-7.
	A12	Barrie Sosinky, Ph.D., "The Business Value of Virtual Volume Management, In Microsoft Window NT and Windows 2000 Networks," VERITAS, A white paper for administrators and planners, October 2001, pp.1-12.
	A13	"BladeFram™ System Overview," Egnera, Inc., 2001 2 pages.
	A14	White Paper, "The Egnera™ Processing Area Network (PAN) Architecture," Egnera, Inc., 2002, 20 pages.
	A15	White Paper, "Emerging Server Architectures," Egnera, Inc., 2001, 12 pages.
	A16	White Paper, "Improving Data Center Performance," Egnera, Inc., 2001, 19 pages.
/A.H./	A17	White Paper, "Guidelines for Effective E-Business Infrastructure Management," Egnera, Inc., 2001, 11 pages.

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<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>			
/A.H./	A18	White Paper, "The Pros and Cons of Server Clustering in the ASP Environment," Egenera, Inc., 2001, 10 pages.	
	A19	Position Paper, "Taking Control of The Data Center," Egenera, Inc., 2001, 4 pages.	
	A20	Position Paper, "The Linux Operating System: How Open Source Software Makes Better Hardware," Egenera, Inc., 2001, 2 pages.	
	A21	"Solution Overview," TrueSAN Networks, Inc., 2002, 7 pages.	
	A22	"Simics: A Full System Simulation Platform," Reprinted with permission from Computer, February 2002, © The Institute of Electrical and Electronics Engineering, Inc., pp. 50-58.	
	A23	"Introduction to Simics Full-System Simulator without Equal," Virtutech, July 8, 2002, 29 pages.	
	A24	"The Technology of Virtual Machines," A Connectix White Paper, Connectix Corp., 2001, 13 pages.	
	A25	"The Technology of Virtual PC," A Connectix White Paper, Connectix Corp., 2000, 12 pages.	
	A26	"About LindowsOS," Lindows.com, <a href="http://www.lindows.com/lindows_products_lindowsos.php">http://www.lindows.com/lindows_products_lindowsos.php</a> , 2002, 2 pages.	
	A27	"Savannah: This is a Savannah Admin Documentation," Savannah, Free Software Foundation, Inc. © 2000-2002, 31 pages.	
	A28	"Virtuozzo Basics," Virtuozzo, <a href="http://www.sw-soft.com/en/products/virtuozzo/basics/">http://www.sw-soft.com/en/products/virtuozzo/basics/</a> , © 1994-2002 SWsoft, printed from web on 12/13/2002, 2 pages.	
	A29	"What is Virtual Environmnet(VE)?," SWsoft, <a href="http://www.sw-soft.com/en/products/virtuozzo/we/">http://www.sw-soft.com/en/products/virtuozzo/we/</a> , © 1994-2002 SWsoft, printed from web on 12/13/2002, 2 pages.	
	A30	"Products," Netraverse, Inc, 2002, <a href="http://www.netraverse.com/products/index.php">http://www.netraverse.com/products/index.php</a> , printed from web on 12/13/2002, 1 page.	
	A31	"NeTraverse Win4Lin 4.0 - Workstation Edition," Netraverse, Inc, 2002, <a href="http://www.netraverse.com/products/win4lin40/">http://www.netraverse.com/products/win4lin40/</a> , printed from web on 12/13/2002, 1 page.	
	A32	"Win4Lin Desktop 4.0," Netraverse, Inc, 2002, <a href="http://www.netraverse.com/products/win4lin40/benefits.php">http://www.netraverse.com/products/win4lin40/benefits.php</a> , printed from web on 12/13/2002, 1 page.	
	A33	"Win4Lin Desktop 4.0," Netraverse, Inc, 2002, <a href="http://www.netraverse.com/products/win4lin40/features.php">http://www.netraverse.com/products/win4lin40/features.php</a> , printed from web on 12/13/2002, 2 page.	
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	A35	"Win4Lin Terminal Server 2.0," Netraverse, Inc, 2002, <a href="http://www.netraverse.com/products/mts">http://www.netraverse.com/products/mts</a> , printed from web on 12/13/2002, 1 page.	
	A36	"Win4Lin Terminal Server 2.0," Netraverse, Inc, 2002, <a href="http://www.netraverse.com/products/mts/benefits.php">http://www.netraverse.com/products/mts/benefits.php</a> , printed from web on 12/13/2002, 1 page.	
	A37	"Win4Lin Terminal Server 2.0," Netraverse, Inc, 2002, <a href="http://www.netraverse.com/products/mts/features.php">http://www.netraverse.com/products/mts/features.php</a> , printed from web on 12/13/2002, 2 pages.	
/A.H./	A38	"Win4Lin Terminal Server 2.0," Netraverse, Inc, 2002, <a href="http://www.netraverse.com/products/mts/requirements.php">http://www.netraverse.com/products/mts/requirements.php</a> , printed from web on 12/13/2002, 2 pages.	

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/A.H./	A39	Win4Lin Terminal Server 2.0," Netraverse, Inc, 2002, <a href="http://www.netraverse.com/products/win4technology.php">http://www.netraverse.com/products/win4technology.php</a> , printed from web on 12/13/2002, 1 page.
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	A41	"Virtual PC for Windows," Connectix, Version 5.0, 2002, 2 pages
	A42	Dave Gardner, et al., "WINE FAQ," © David Gardner 1995-1998, printed from <a href="http://www.winehq.org">www.winehq.org</a> , 13 pages.
	A43	"Winelib User's Guide," Winelib, <a href="http://www.winehq.org">www.winehq.org</a> , 26 pages.
	A44	John R. Sheets, et al. "Wine User Guide," <a href="http://www.winehq.org">www.winehq.org</a> , pages 1-53.
	A45	"Wine Developer's Guide," pages, <a href="http://www.winehq.org">www.winehq.org</a> , 1-104.
	A46	VERITAS, "VERITAS Volume Manager for Windows NT," Version 27, 2001, 4 pages.
	A47	Sapuntzakis, et al., "Optimizing the Migration of Virtual Computers," Proceedings of the Fifth Symposium on Operating Systems Design and Implementation, December 2002, 14 pages.
	A48	Helfrich, et al., "Internet Suspend/Resume," ISR Project Home Page, 2003, 4 pages.
	A49	Kozuch, et al., "Internet Suspend/Resume," IRP-TR-02-01, April 2002, Accepted to the Fourth IEEE Workshop on Mobile Computing Systems and Applications, Callicoon, NY, June 2002, Intel Research, 9 pages.
	A50	Kozuch, et al., "Efficient State Transfer for Internet Suspend/Resume," IRP-TR-02-03, May 2002, Intel Research, 13 pages.
	A51	Tolia, et al., "Using Content Addressing to Transfer Virtual Machine State," IRP-TR-02-11, Summer 2002, Intel Research, 11 pages.
	A52	Flinn, et al., "Data Staging on Untrusted Surrogates," IRP-TR-03-03, March 2003, Intel Research, To Appear in the Proceedings of the 2 <sup>nd</sup> USENIX Conference on File and Storage Technologies, San Francisco, 16 pages.
	A53	Tolia, et al., "Opportunistic Use of Content Addressable Storage for Distributed File Systems," IRP-TR-03-02, June 2003, Intel Research, To Appear in the Proceedings of the 2003 USENIX Annual Technical Conference, San Antonio, TX, 16 pages.
	A54	VMware, Inc., "VMware Control Center," 2003, 3 pages.
	A55	InfoWorld, Robert McMillan, "VMware Launches VMware Control Center," 2003, 2 pages.
	A56	VMware, Inc., "VMware Control Center: Enterprise-class Software to Manage and Control Your Virtual Machines," 2003, 2 pages.
	A57	John Abbott, Enterprise Software, "VMware Heads Toward Utility Computing With New Dynamic Management Tools," July 1, 2003, 4 pages.
	A58	Dejan S. Milogicic, et al., "Process Migration," August 10, 1999, 49 pages.
	A59	Xian-He Sun, et al., "A Coordinated Approach for Process Migration in Heterogeneous Environments," 1999, 12 pages.
/A.H./	A60	Kasidit Chanchio, et al., "Data Collection and Restoration for Heterogeneous Process Migration," 1997, 6 pages.

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